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Nice

Defense of the thesis

# Persistent Geometry

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*Inria*

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Nice

Defense of the thesis

# Persistent Geometry

Presenting two results:

Persistent intrinsic volumes

Morse theory on tubular neighborhoods

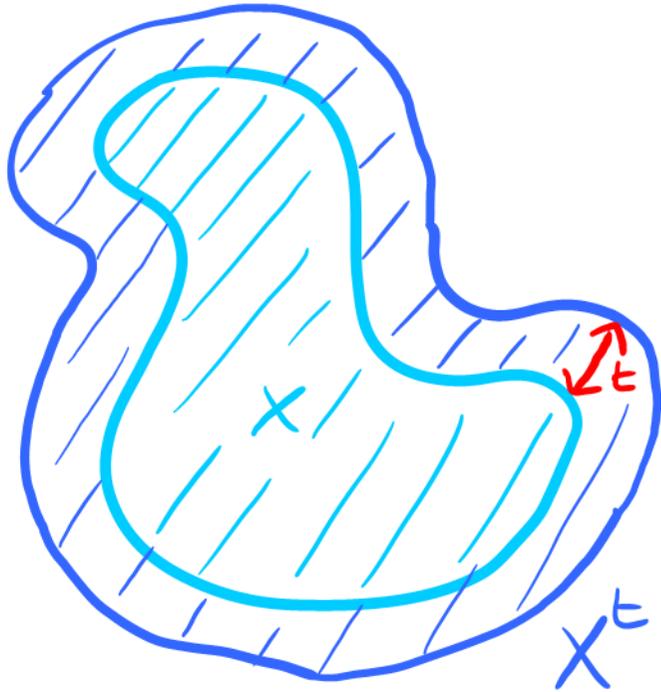
## Objective

Let  $X, Y \subset \mathbb{R}^d$

How can one recover the geometry of  $X$  from the knowledge of  $Y$  assuming  $X$  and  $Y$  are close?

# Objective

Let  $X, Y \subset \mathbb{R}^d$



Distance to  $X$

$$X^\epsilon := \{x \in \mathbb{R}^d, d_X(x) \leq \epsilon\}$$

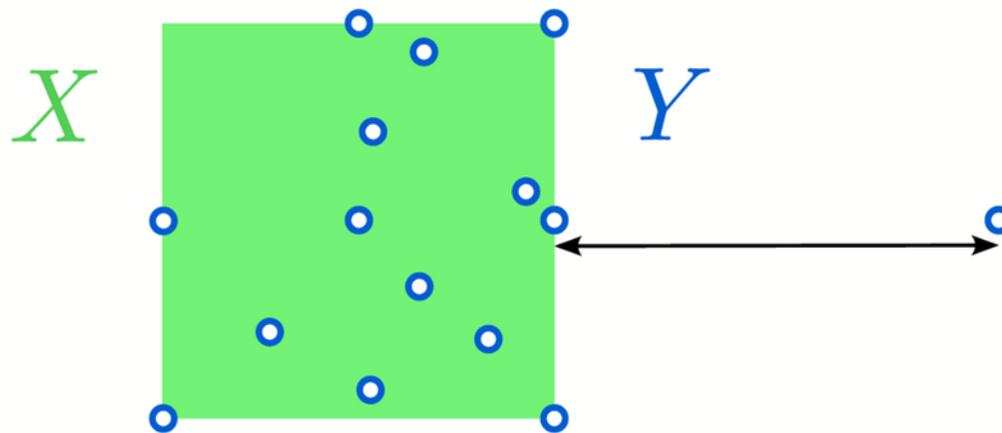
is the  $\epsilon$ -offset of  $X$ .

# Hausdorff distance.

Let  $X, Y \subset \mathbb{R}^d$

We use the Hausdorff distance  
between  $X$  and  $Y$

$$d_H(X, Y) = \inf \{ t \geq 0, X \subset Y^t, Y \subset X^t \}$$



# Objective

⇒ We focus on the recovery of  
Intrinsic volumes

# Intrinsic volumes

$\Rightarrow$  We focus on the recovery of  
Intrinsic volumes

Quantities  $V_0(X), \dots, V_{d-1}(X), V_d(X)$   
associated to a large class of sets in  $\mathbb{R}^d$ .

# Intrinsic volumes

$\Rightarrow$  We focus on the recovery of Intrinsic volumes

Quantities  $V_0(X), \dots, V_{d-1}(X), V_d(X)$

Euler characteristic  
 $\chi(X)$

Boundary  
Area\*  
 $\int \mathbb{H}^{d-1}(\partial X)$

Volume  
 $\int \mathbb{H}^d(X)$

## Intrinsic volumes

Simple definition of intrinsic volumes  
via the Tube formula for sets  
with positive reach.

$$\text{reach}(X) := \sup \left\{ t \in \mathbb{R}, d_X(x) \leq t \right. \\ \left. \Rightarrow x \text{ has a unique closest point in } X \right\}$$

## Intrinsic volumes

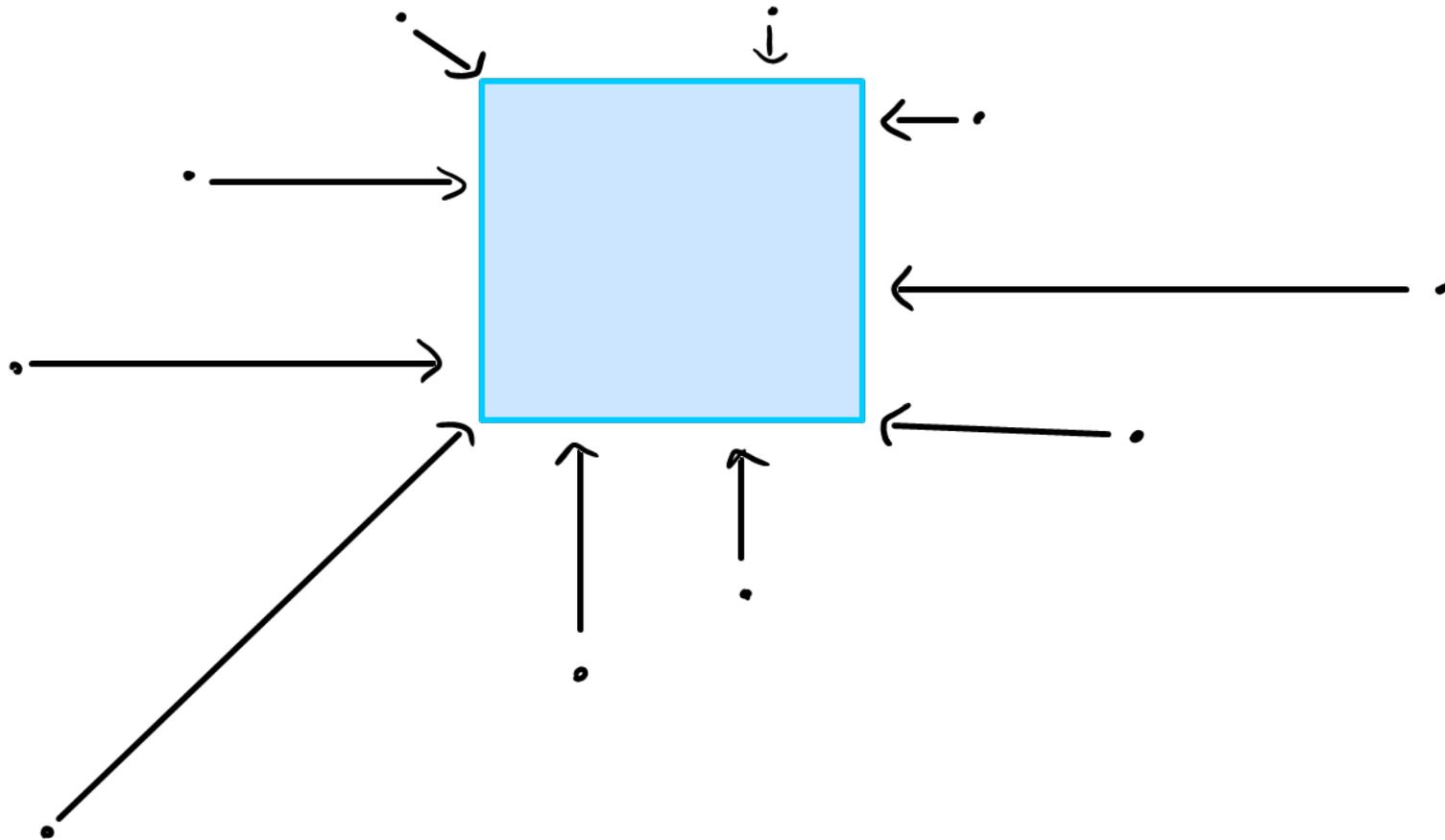
Simple definition of intrinsic volumes  
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with positive reach.

$$\text{reach}(X) := \sup \left\{ t \in \mathbb{R}, d_X(x) \leq t \right. \\ \left. \Rightarrow x \text{ has a unique closest point in } X \right\}$$

"the largest distance to  $X$  under which a point  
has a unique closest point in  $X$ "

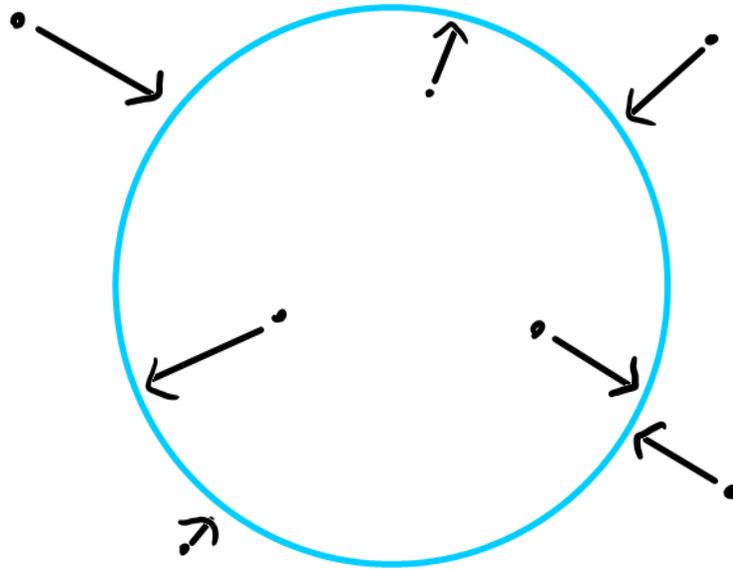
# Examples:

A convex set has reach  $+\infty$ :



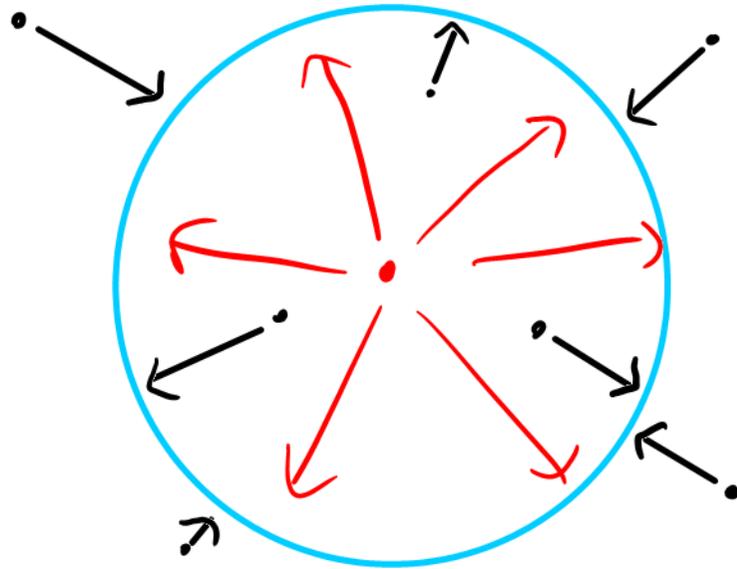
## Examples:

any compact submanifold of  $\mathbb{R}^d$  has reach  $> 0$ .



## Examples:

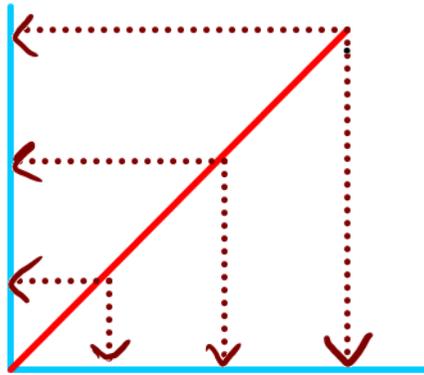
any compact submanifold of  $\mathbb{R}^d$  has reach  $> 0$ .



but no reason to be  $+\infty$ .

## Examples:

Shapes with concave corners  
have reach zero.



# Tube formula.

Within  $[0, \text{reach}(X)]$ , (Federer 1959)

$t \mapsto \text{Vol}(X^t)$  is a polynomial.

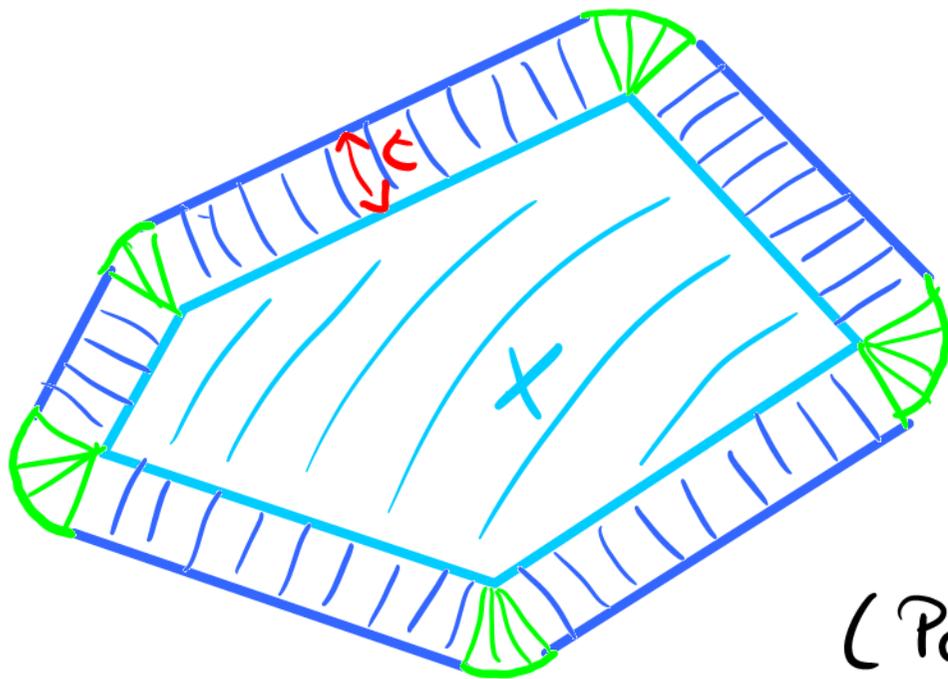
$$\text{Vol}(X^t) = \sum_{i=0}^d \omega_i t^i V_{d-i}(X)$$

volume of the unit ball  
in  $\mathbb{R}^i$ .

# Tube formula.

Within  $[0, \text{reach}(X)]$ , (Fedener, 1959)

$t \mapsto \text{Vol}(X^t)$  is a polynomial.



$$\begin{aligned}\text{Vol}(X^t) &= \text{Vol}(X) \\ &+ \text{length}(\partial X)t \\ &+ 2\pi \chi(X)t^2\end{aligned}$$

(Polyhedra: Steiner, 1842)

# Tube formula.

Within  $[0, \text{reach}(X)]$ , (Federn, 1959)

$t \mapsto \text{Vol}(X^t)$  is a polynomial.

When  $X$  is a smooth hypersurface of  $\mathbb{R}^d$ ,

$$V_i(X) = \int_X \sum_{d-1} (\kappa_1, \dots, \kappa_{d-1}) d\mu \quad (\text{Weyl, 1939})$$

symmetric polynomial      principal curvatures

# Additivity

The *additive property* allows for a definition of intrinsic volumes for some sets of reach 0.

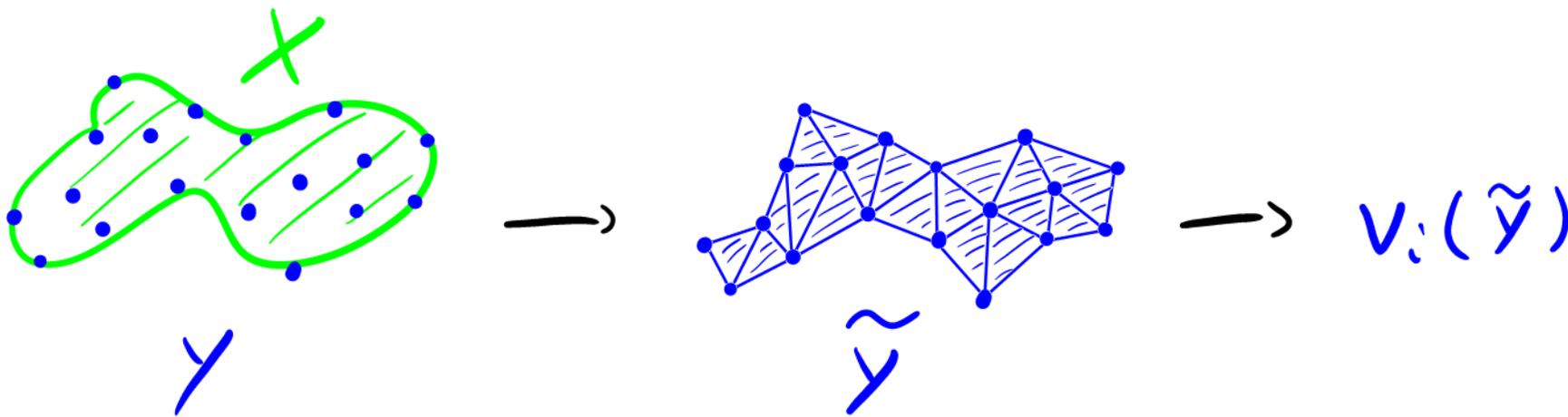
$$V_i(A \cup B) + V_i(A \cap B) = V_i(A) + V_i(B).$$

$$V_2(\text{+}) = V_1(\text{—}) + V_1(\text{|}) - V_0(\text{•})$$

Inference on  
intrinsic volumes

# Inference in the smooth case

When  $X$  is smooth,  
intrinsic volumes can be recovered  
by triangulating  $Y$ .



Such methods are supported by a vast literature.

## Inference in the non-smooth case

When  $X$  is **not** smooth,  
classical methods **fail** to reconstruct  $X$ .

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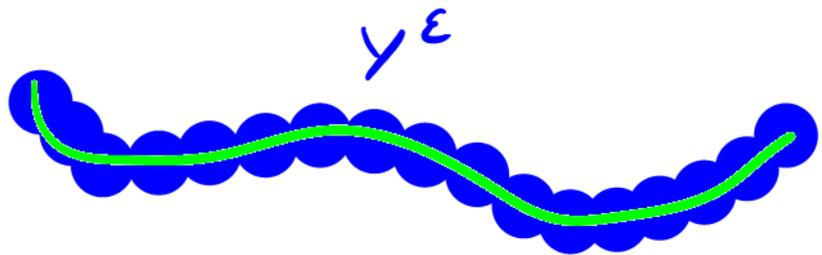
the only known method to reconstruct  $X$   
consists in using offsets  $Y^\varepsilon$ . this recovers  
the homotopy type of  $X$ . (Chazal et al., 2007).

# Inference in the non-smooth case

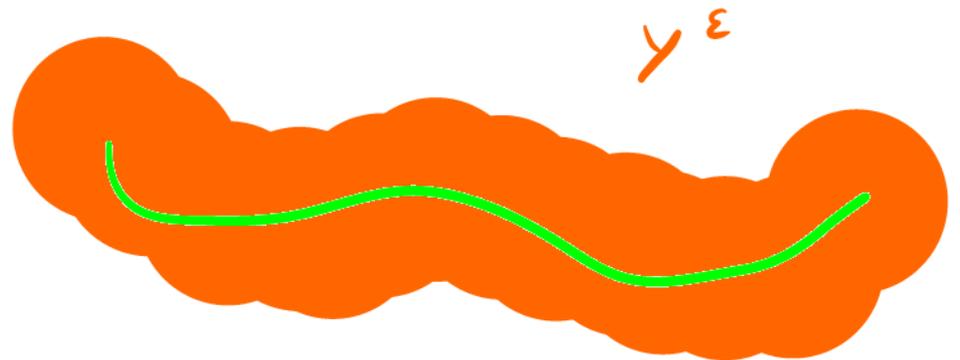
When  $X$  is not smooth,  
classical methods fail to reconstruct  $X$ .

$V_\epsilon(Y^\epsilon)$  fail to properly converge to  $V(X)$  as either

- $Y^\epsilon$  is too noisy ( $\epsilon$  is too small)
- $Y^\epsilon$  is smoother but far from  $X$  ( $\epsilon$  is too large)



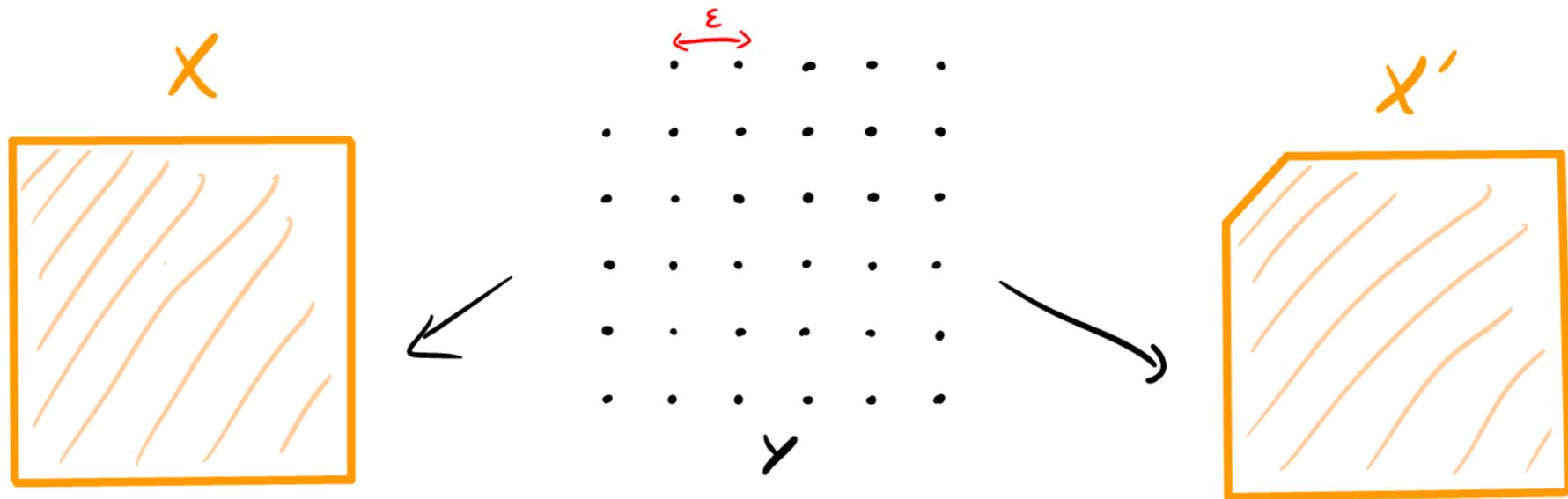
X



$Y^\epsilon$

# Inference in the non-smooth case

In the non-smooth case, the general rate of convergence with respect to the Hausdorff distance cannot be better than linear.



$$d_H(X, Y), d_H(X', Y) = O(\epsilon)$$

but

$$\epsilon = O(V_n(X) - V_n(X'))$$

How do we deal with  
non-smooth sets?

# Principal kinematic formula.

A special case of the known  
Principal kinematic formula  
yields

$$\int_{\mathbb{R}^d} \chi(X \cap B(x, t)) dx = \sum_{i=0}^d \omega_i t^i V_{d-i}(X)$$

Holds for a large variety of sets.

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$\chi_{X, t}(x)$  when  $0 \leq t < \text{reach}(X)$

## Principal kinematic formula.

Idea: integrating the formula over  $t$  yields

$$\int_{\mathbb{R}^d} \int_0^R \chi(X \cap B(x, t)) dt dx$$

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- The Euler characteristic is a topological quantity.

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- The Euler characteristic is a topological quantity.

Inviting us to use persistent homology.

Basics in homology  
and persistent homology.

# Homology

- Let  $i \in \mathbb{N}$  and  $K$  be a field.

$H_i(X, K)$  is a vector space over  $K$ .

# Homology

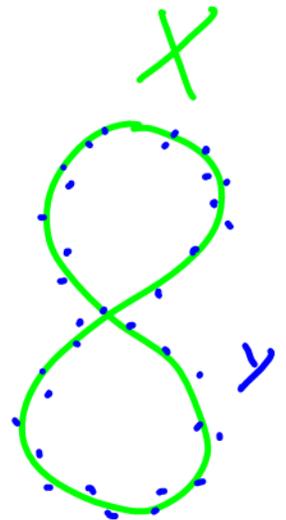
- Let  $i \in \mathbb{N}$  and  $\mathbb{K}$  be a field.

$H_i(X, \mathbb{K})$  is a vector space over  $\mathbb{K}$ .

- $\dim H_i(X, \mathbb{K})$  is interpreted as the number of  $i$ -dimensional features (or voids) of  $X$ .

$\dim H_0(X) =$  number of connected components

$\dim H_1(X) =$  number of independent loops



# Homology

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- When the sum is well-defined,

$$\chi(X) := \sum_{i=0}^d (-1)^i \dim H_i(X, \mathbb{K})$$

# Persistent homology : definition

A persistence module is a collection of vector spaces and linear maps.

$$\begin{array}{ccccccc} & & & & & & \alpha \leq \beta \leq \epsilon \\ & & & & & & \\ \cdots & \dashrightarrow & M_\alpha & \longrightarrow & M_\beta & \longrightarrow & M_\epsilon & \dashrightarrow \cdots \\ & & & & & & & \end{array}$$

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Example: If  $(X_t)_{t \in \mathbb{R}}$  is a filtration,

$$\cdots \longrightarrow H_i(X_\alpha) \longrightarrow H_i(X_\beta) \longrightarrow H_i(X_\epsilon) \longrightarrow \cdots$$

We speak of *persistent homology modules*.

# Persistent homology: decomposition

Under mild regularity conditions,  
a persistence module can be decomposed  
as a sum of *interval modules*  $\mathbb{1}_I$

$$\cdots \rightarrow 0 \xrightarrow{0} \mathbb{K} \xrightarrow{\text{id}} \mathbb{K} \xrightarrow{0} 0 \cdots \rightarrow$$

$\underbrace{\hspace{10em}}_I$

## Persistent homology: decomposition

Under mild regularity conditions,  
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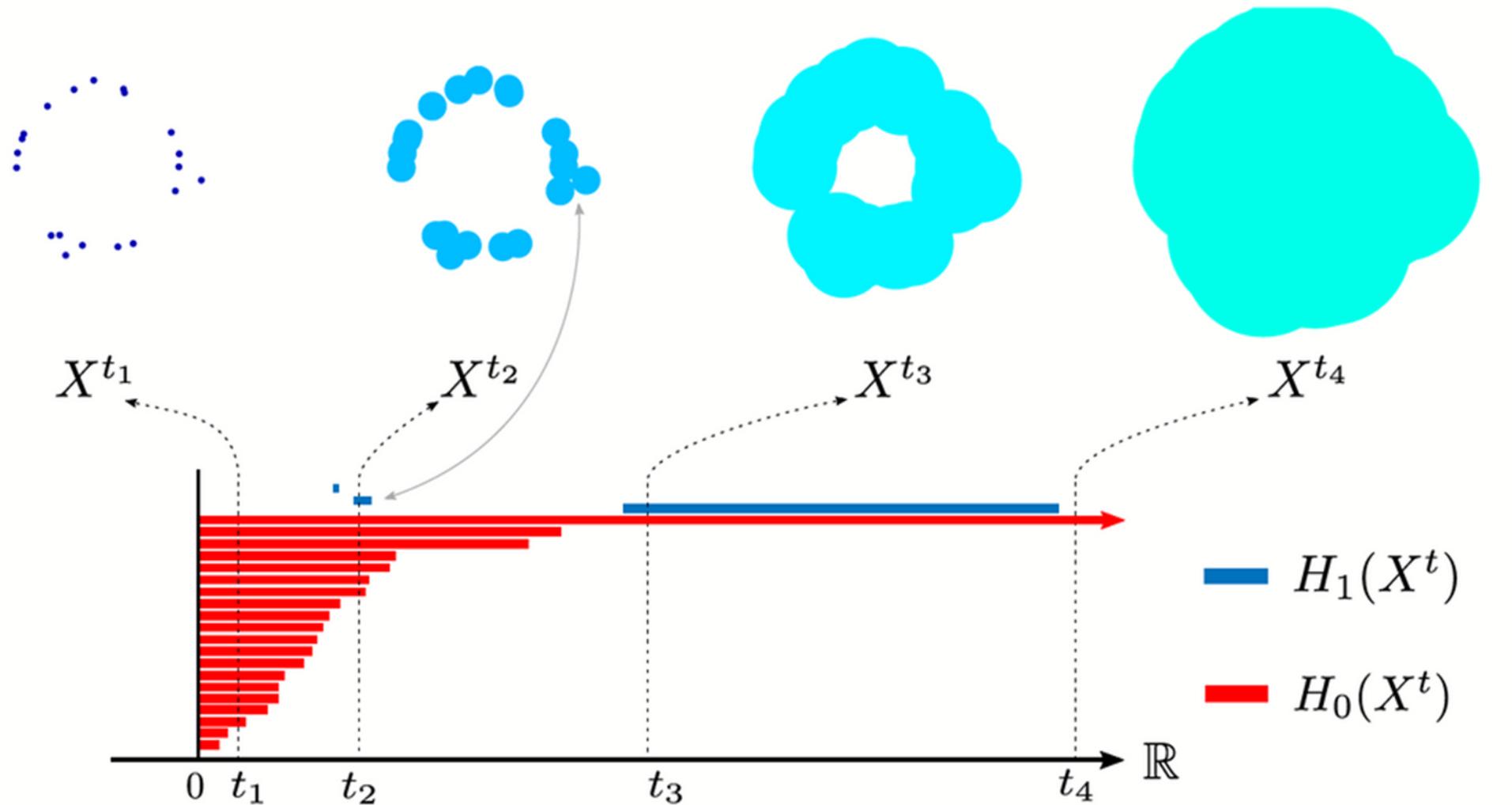
$$\cdots \rightarrow 0 \xrightarrow{0} \mathbb{K} \xrightarrow{\text{id}} \mathbb{K} \xrightarrow{0} 0 \cdots$$

$\underbrace{\hspace{10em}}_I$

For persistent homology modules, each  
interval's bounds correspond to the birth and death  
of topological features.

# Persistent homology: decomposition

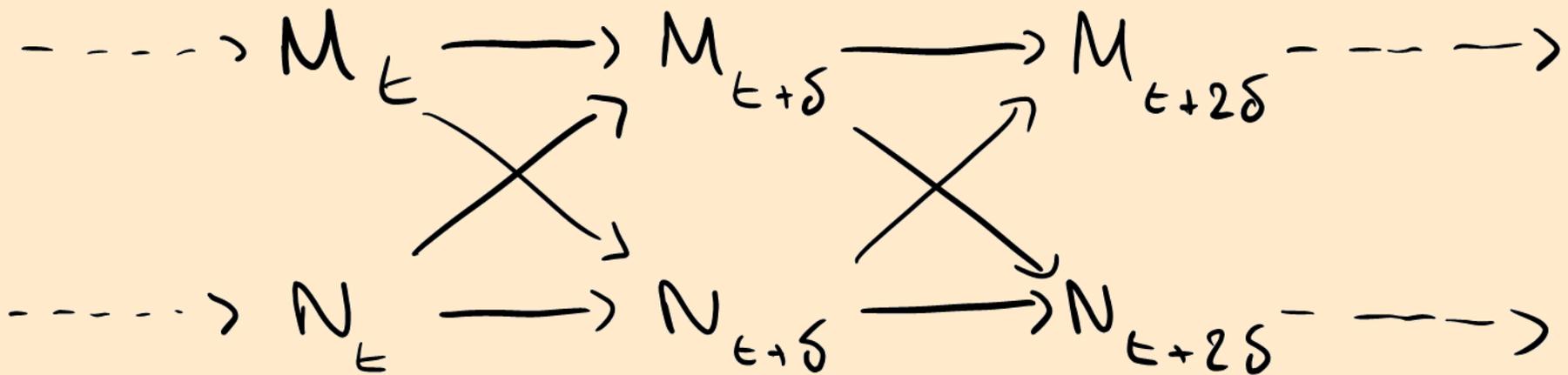
Example: the growing offset filtration



# Persistent homology: stability

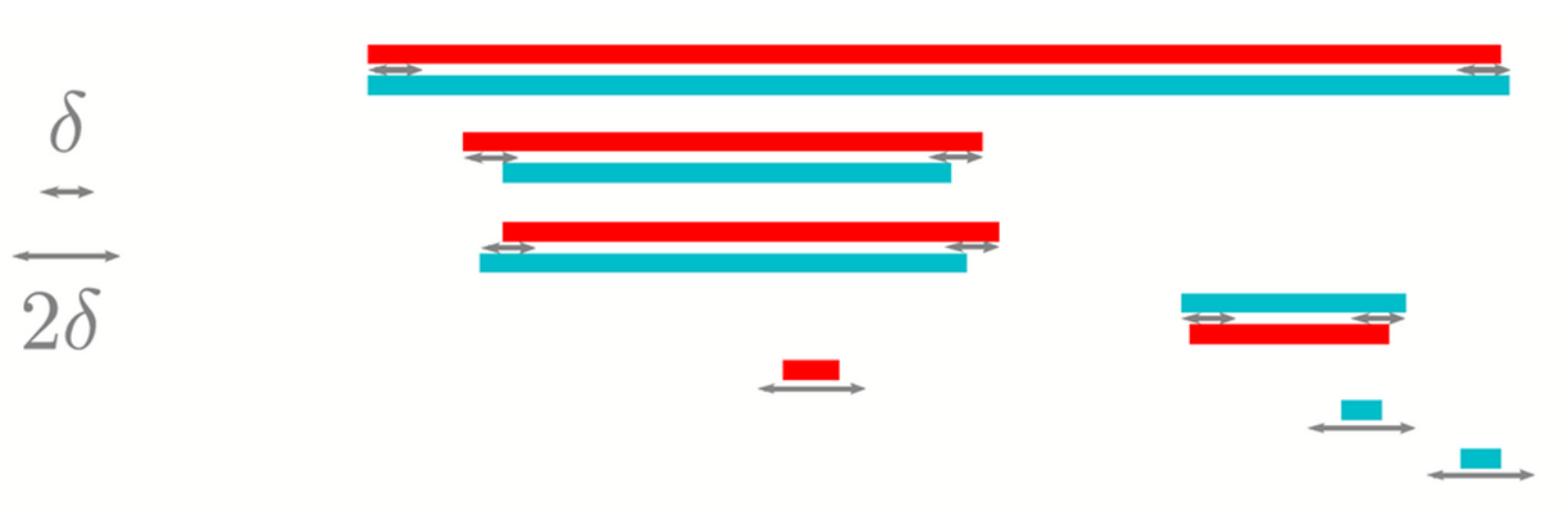
Persistence modules form a pseudo-metric space when equipped with the interleaving distance.

$$d_{\mathcal{H}}(M, N) := \text{infimum of } \delta \text{ such that}$$



# Persistent homology: stability

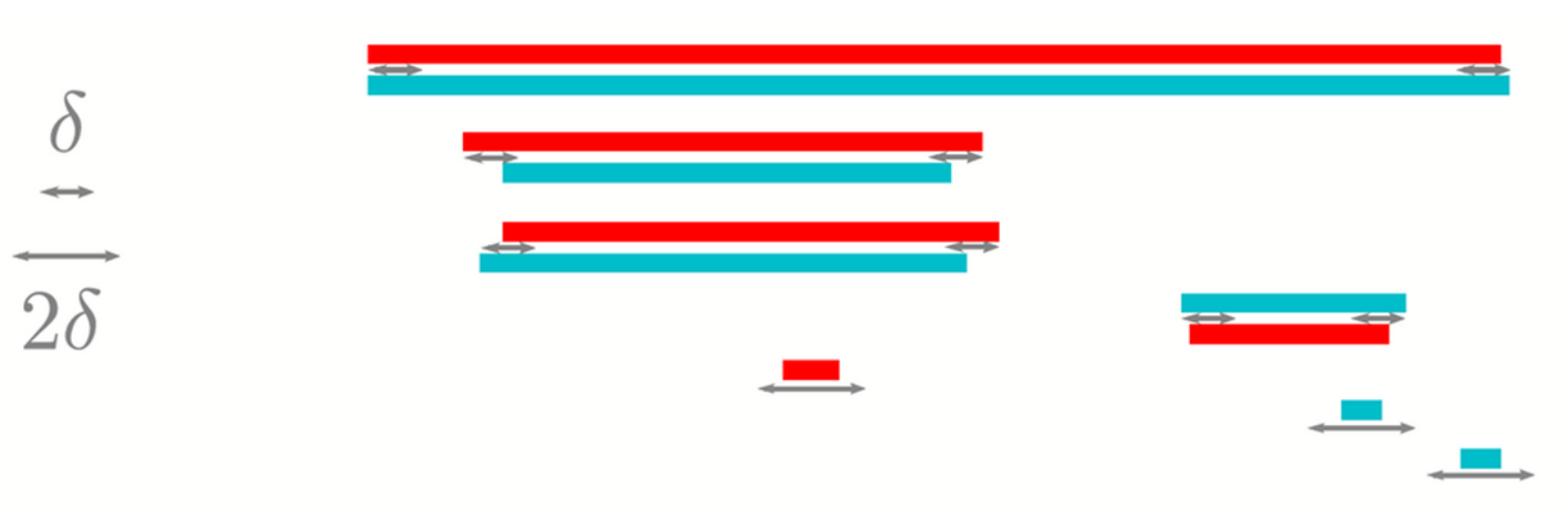
Persistence diagrams are equipped with the **bottleneck distance**  $d_B$  defined as the infimum of  $\delta$ -matchings.



Partial bijection moving bounds by less than  $\delta$ .

# Persistent homology: stability

Persistence diagrams are equipped with the **bottleneck distance**  $d_B$  defined as the infimum of  $\delta$ -matchings.



Isometry:  $d_H(M, N) = d_B(\text{dgm}(M), \text{dgm}(N))$

## Persistent homology: stability

Given  $f: \mathbb{R}^d \rightarrow \mathbb{R}$  and  $z \in \mathbb{R}^d$ ,  
we let  $\text{dgm}(f|_z)$  be the persistence homology  
diagram associated with the filtration

$$(f^{-1}(-\infty, t])_{t \in \mathbb{R}}$$

## Persistent homology: stability

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$$(f^{-1}(-\infty, t])_{t \in \mathbb{R}}$$

$\chi(\text{dgm}_t(f|_z))$  is the alternating sum  
of the number of intervals of  $\text{dgm}(f|_z)$  containing  $t$

→ The Euler characteristic is defined for diagrams

Persistence

and

the kinematic formula.

## Persistence and the kinematic formula.

The previous equation was:

$$\int_{\mathbb{R}^d} \chi(X \cap B(a, t)) da = \sum_{i=0}^d \omega_i t^i V_{d-i}(X)$$

$Q_X(t)$

(Steiner Polynomial)

## Persistence and the kinematic formula.

Let  $d_x: z \mapsto \|z - x\|$ . The previous formula can be restated as

$$\int_{\mathbb{R}^d} \chi(\text{dgm}_t(d_x|_X)) dx = \sum_{i=0}^d \omega_i t^i V_{d-i}(X)$$

alternating sum of  
the number of intervals  
containing  $t$ .

$Q_X(t)$

(Steiner Polynomial)

## Persistence and the kinematic formula.

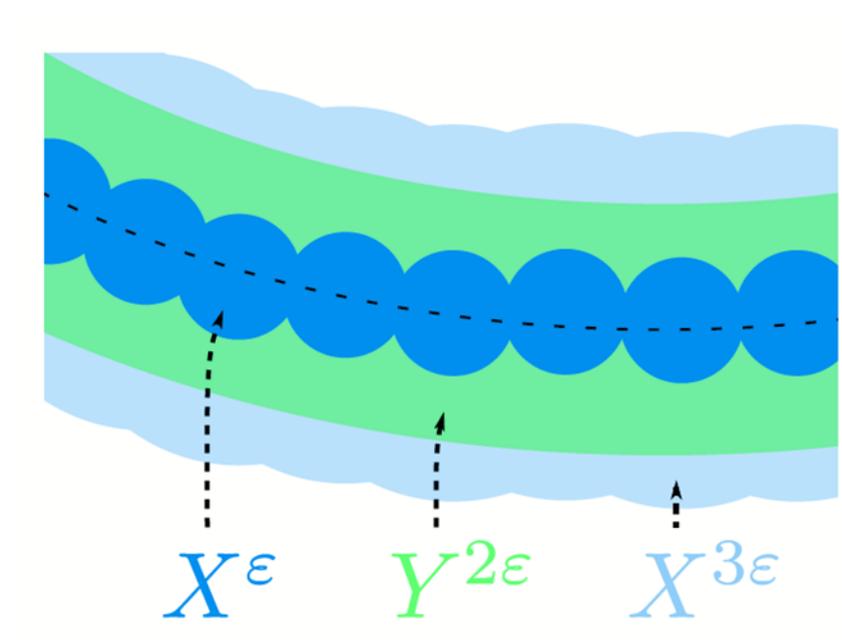
$$\int_{\mathbb{R}^d} \chi(d_{gm}(d_x|X)) dx = \sum_{i=0}^d \omega_i t^i v_{d-i}(X)$$

Idea: approximate  $d_{gm}(d_x|X)$

Issue:  $d_{gm}(d_x|X)$ ,  $d_{gm}(d_x|Y)$ ,  $d_{gm}(d_x|Y \oplus \varepsilon)$   
can be very different.

# Persistence and the kinematic formula.

Idea: Use two offsets of  $Y$   
to approximate  $d_{gm}(d_x | X^{2\epsilon})$ .



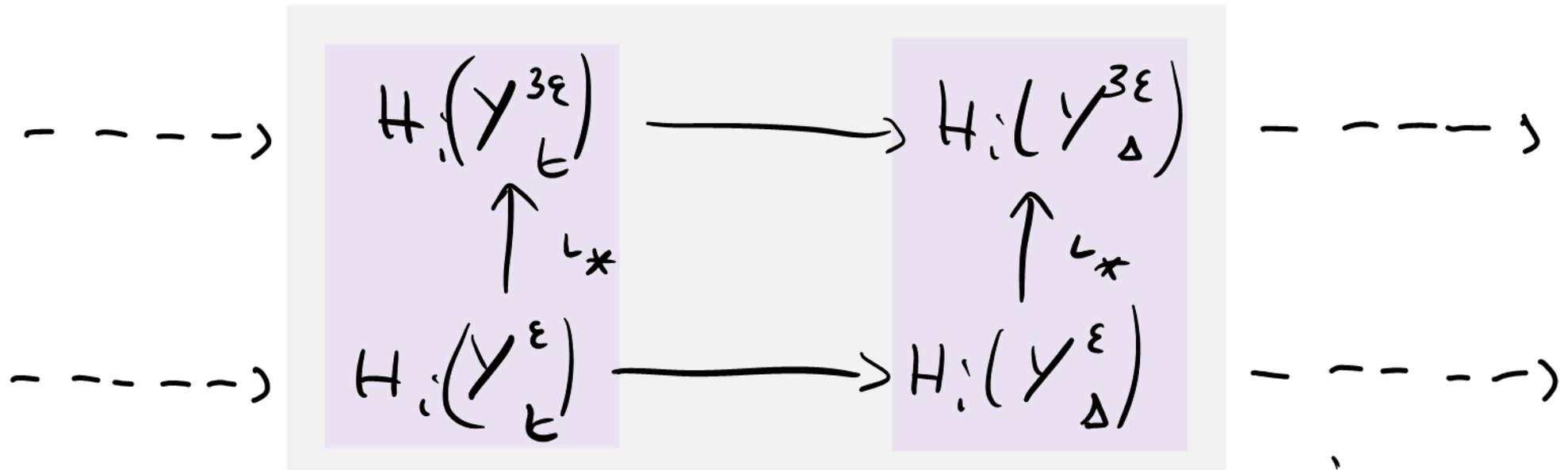
# Image persistence

Fix  $x \in \mathbb{R}^d$ . Let  $Z_t = Z \cap B(x, t)$  ← any set

$\forall \varepsilon > 0$ , inclusions yield  
a commutative diagram

$$\begin{array}{ccccc} \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \end{array}$$

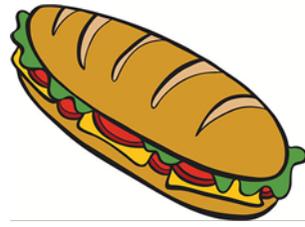
# Image persistence



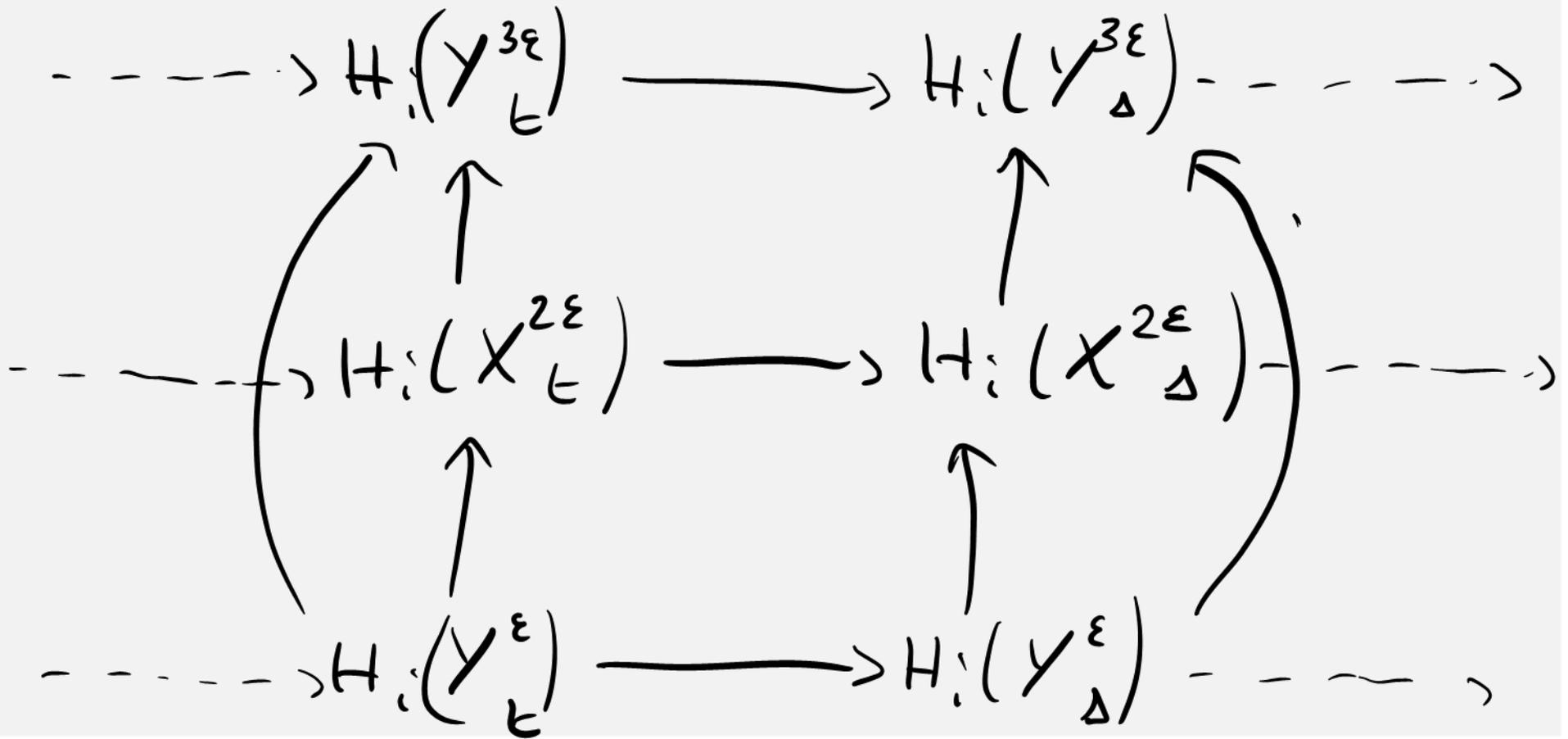
$\Rightarrow (L_* (H_i(Y_t^\epsilon)))_{t \in \mathbb{R}}$  is a persistence module.

Its diagram is denoted by  $\text{dgm}(d_x, Y^\epsilon, Y^{3\epsilon})$ .

# Image persistence



When  $d_H(X, Y) \leq \varepsilon$ ,  $Y^\varepsilon \subset X^{2\varepsilon} \subset Y^{3\varepsilon}$



# Image persistence

Theorem (Consequence of Bauer, 2013)

$\text{dgm}(d_x, Y^\varepsilon, Y^{3\varepsilon})$  has fewer and smaller  
bars than  $\text{dgm}(d_x, X^{2\varepsilon})$

"Image persistent modules  
are simpler than the ones  
they sandwich"

# Image persistence

Theorem (Consequence of Bauer, 2013)

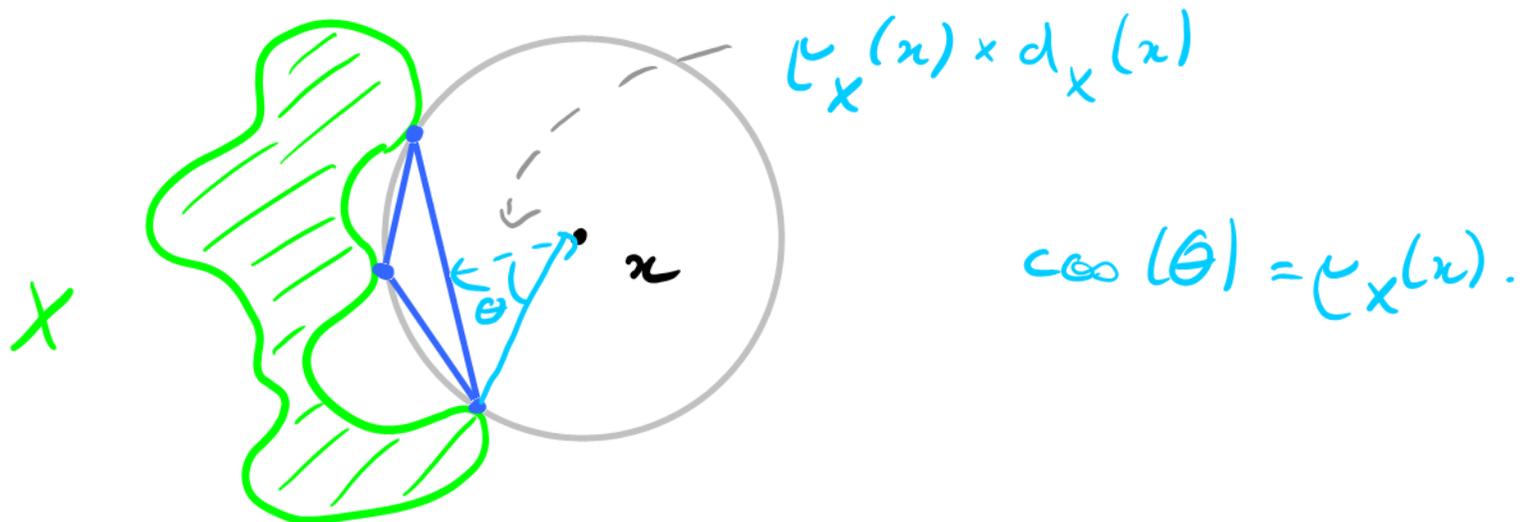
$dgm(d_X, Y^\varepsilon, Y^{3\varepsilon})$  has fewer and smaller  
bars than  $dgm(d_X, X^{2\varepsilon})$

This can be seen as filtering  
the noise of individual offsets.

Regularity condition:  $\mu$ -reach.

We need a mild regularity assumption.

For any  $x \notin X$ , define  $\mu_x(x) \in [0, 1]$   
to be the distance of  $x$  to the  
convex hull of its closest points in  $X$ ,  
normalized by  $d_X(x)$ .



Regularity condition:  $\mu$ -reach.

We need a mild regularity assumption.

$$d_H(X, Y) \leq \varepsilon \leq \frac{1}{4} \text{reach}_\mu(X)$$

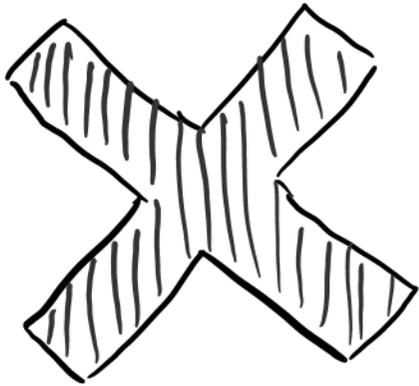
depending on a parameter  $\mu \in (0, 1]$ .

$$\text{reach}_\mu(X) :=$$

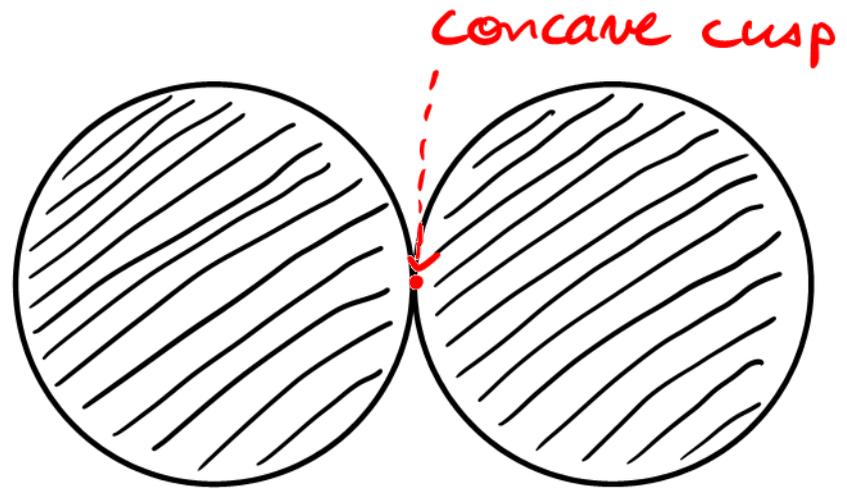
$$\sup \left\{ t \in \mathbb{R}, d_X(x) \leq t \Rightarrow c_X(x) \geq \mu \right\}$$

Regularity condition:  $\rho$ -reach.

We need a mild regularity assumption.

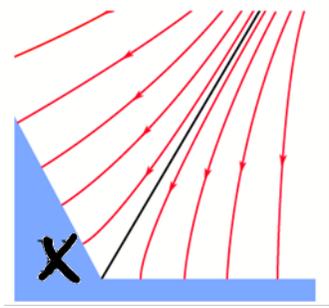


Positive  $\rho$ -reach  
when  $\rho \leq \frac{1}{\sqrt{2}}$

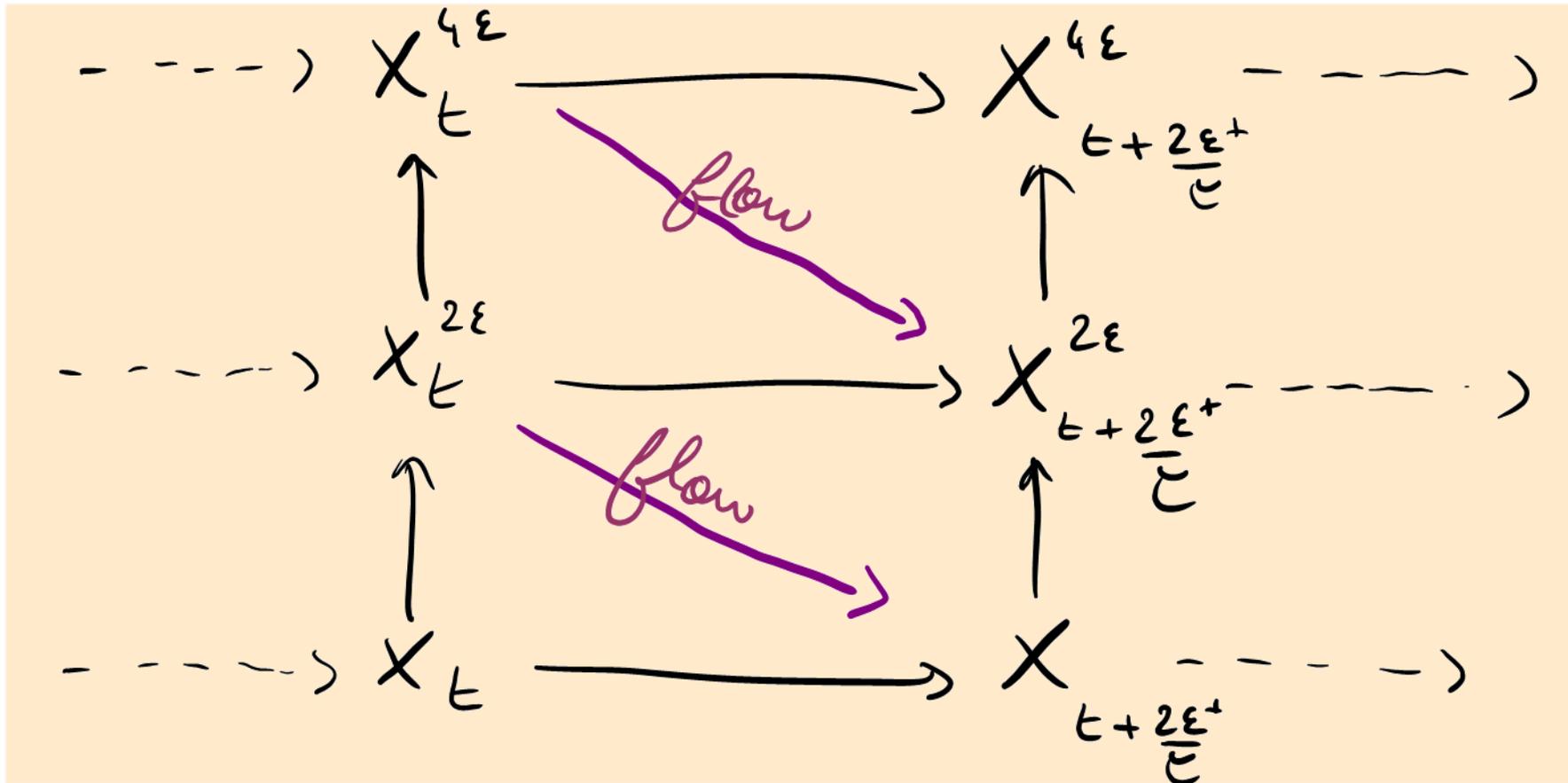


$\rho$ -reach zero  
for every  $\rho$  in  $(0, 1]$ .

# Image stability



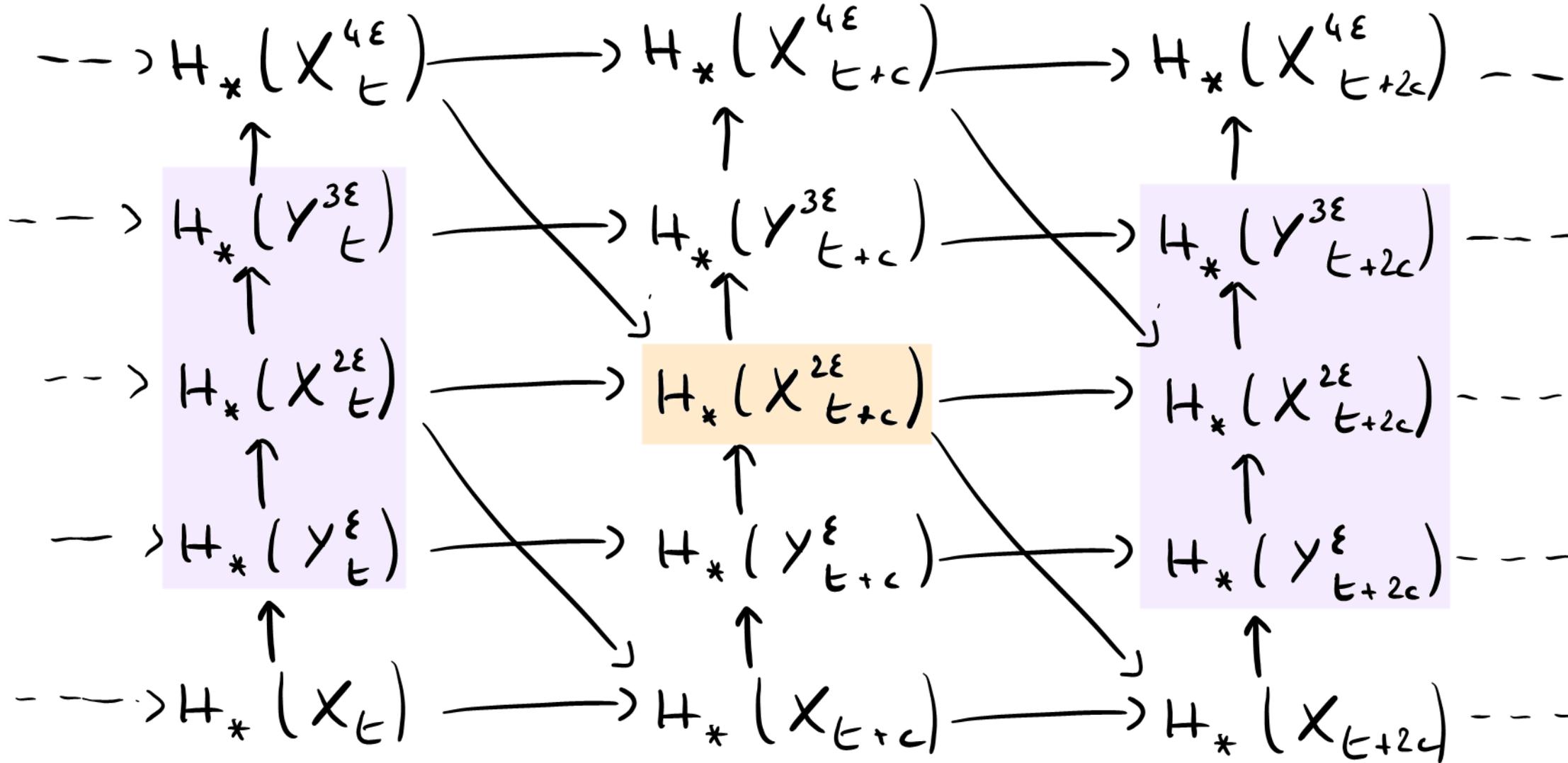
When  $4\varepsilon \leq \text{reach}_\mu(X)$ , there exist flows parametrized by the arc-length making  $d_X$  decrease at speed almost  $\mu$ , yielding



# Image stability

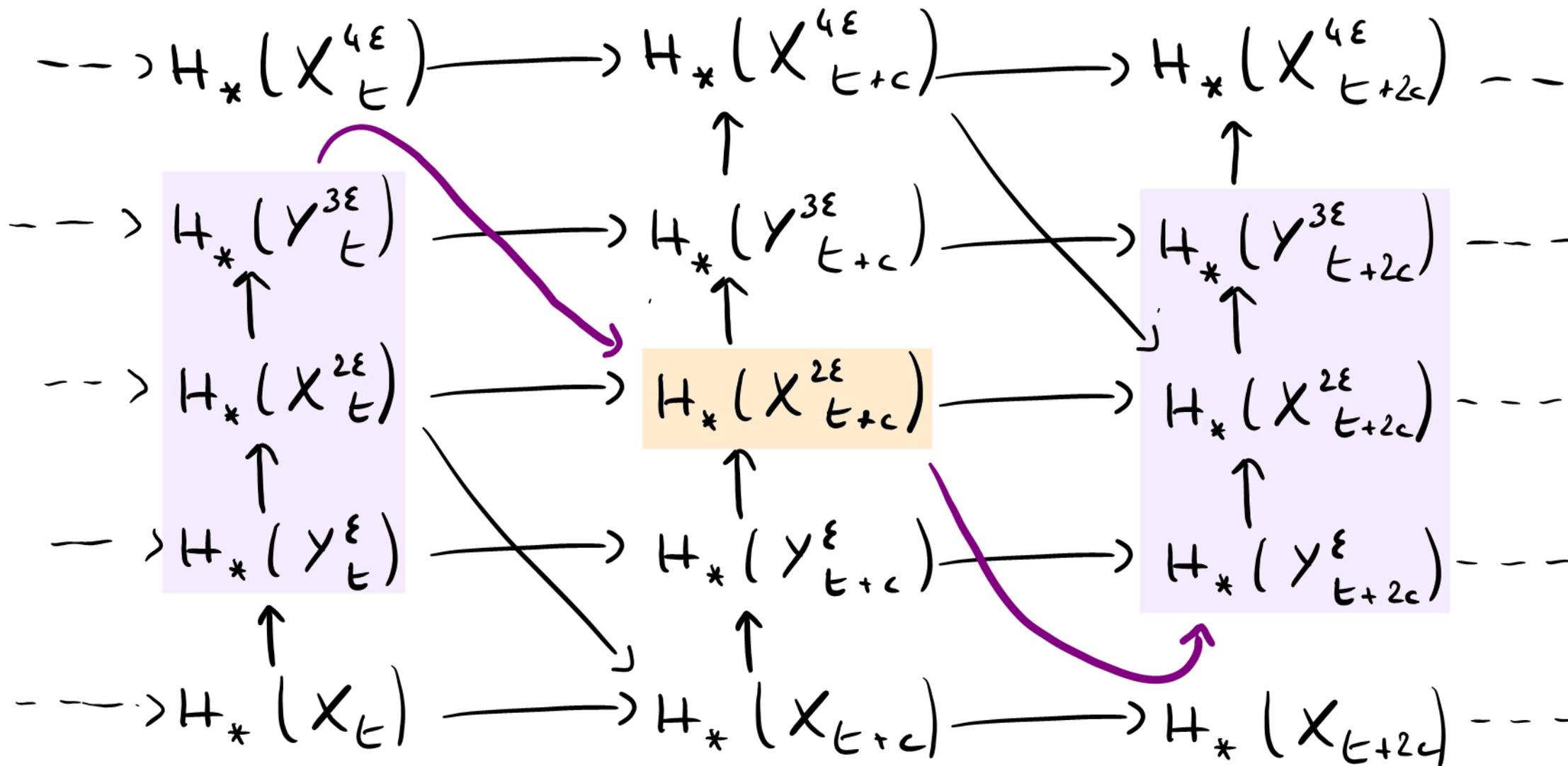
$$(c = \frac{2\epsilon^+}{\epsilon})$$

Applying the homology functor yields



# Image stability

$$(c = \frac{2\varepsilon^+}{\varepsilon})$$



# Image stability

Conclusion:  $[c, c-5]$

Assume  $d_H(X, Y) \leq \varepsilon \leq \frac{1}{4} \text{reach}_c(X)$

$$d_B(\text{dgm}(d_{X|X^{2\varepsilon}}, \text{dgm}(d_{X|X^{2\varepsilon}}, Y^\varepsilon, Y^{3\varepsilon}))) \leq \frac{2\varepsilon}{c}$$

Back to intrinsic  
volumes

## Back to intrinsic volumes.

Recall that we have

$$\int_{\mathbb{R}^d} \chi(\text{dgm}_t(d_x, X^{2\varepsilon})) dx = \sum_{i=0}^d \omega_i t^i V_{d-i}(X^{2\varepsilon})$$

alternating sum of  
the number of intervals  
containing  $t$ .

$Q_{X^{2\varepsilon}}(t)$

Idea: replace  $\text{dgm}(d_x, X^\varepsilon)$   
by  $\text{dgm}(d_x, Y^\varepsilon, Y^{3\varepsilon})$

Back to intrinsic volumes.

We let  $Q_Y^\varepsilon$  be the persistent Steiner function

$$Q_Y^\varepsilon(t) := \int_{\mathbb{R}^d} \chi(\text{dgm}(dx, Y^\varepsilon, Y^{3\varepsilon})) dx$$

## Back to intrinsic volumes.

to compare  $Q_{X^{2\varepsilon}}$  and  $Q_Y^\varepsilon$ , we use

$\chi$ -averaging lemma:

$$\int_0^R |\chi(\text{dgm}_t(d_{x|X^{2\varepsilon}})) - \chi(\text{dgm}_t(d_{x|Y^\varepsilon, Y^{2\varepsilon}}))| dt \leq 2d_B \times N_0^R(X^{2\varepsilon}, \kappa)$$

distance between  
diagrams

where  $N_0^R(X^{2\varepsilon}, \kappa)$  is the number of bars of  $\text{dgm}(d_{x|X^{2\varepsilon}})$  intersecting  $[0, R]$ .

## Convergence bounds.

Since  $d_B \leq \frac{2\varepsilon}{c}$ , we have

Conollary:

$$\|Q_{X^{2\varepsilon}} - Q_{Y,\varepsilon}\|_{1, [0,R]} \leq \frac{4\varepsilon}{c} \underbrace{\int_{\mathbb{R}^d} N_0^R(X^{2\varepsilon}, x) dx}_{K_R(X^{2\varepsilon})}$$

## Convergence bounds

Moreover, the same method yields

$$\|Q_{X^{2\varepsilon}} - Q_{X^\delta}\|_{1, [0, R]} \leq \frac{4\varepsilon}{\varepsilon} \int_{\mathbb{R}^d} (N_0^R(X^\delta, x) + N_0^R(X^{2\varepsilon}, x)) dx$$

Letting  $\delta$  go to zero\* yields

Inference bounds [c.]

$$\|Q_X - Q_{Y, \varepsilon}\|_{1, [0, R]} \leq \frac{4\varepsilon}{\varepsilon} (K_R(X) + K_R(X^{2\varepsilon}))$$

## Convergence bounds

Recovering surrogate coefficients of  $Q_{Y,\varepsilon}$  can be done in a linear, continuous way, yielding

$$|V_{i,\varepsilon}(Y) - V_i(X^{2\varepsilon})| = O\left(\frac{\varepsilon}{L} K(X^{2\varepsilon})\right)$$

↑  
surrogates &

$$|V_{i,\varepsilon}(Y) - V_i(X)| = O\left(\frac{\varepsilon}{L} (K_R(X) + K_R(X^{2\varepsilon}))\right)$$

What can we say  
about  $K_{\mathbb{R}}(X^{2\varepsilon})$ ?

What can we say  
about  $K_{\mathbb{R}}(X^{2\varepsilon})$ ?

Recall that  $K_{\mathbb{R}}(X^{2\varepsilon}) = \int_{\mathbb{R}^d} N_0^{\mathbb{R}}(X^{2\varepsilon}, x) dx$

# Morse Theory.

$N_0^R(X^{2\varepsilon}, \pi)$  is the number of bars of  
 $\text{dgm}(d_\pi|_{X^{2\varepsilon}})$  intersecting  $[0, R]$ .

# Morse Theory.

$N_0^R(X^{2\varepsilon}, \alpha)$  is the number of bars of  
 $\text{dgm}(d_\alpha|_{X^{2\varepsilon}})$  intersecting  $[0, R]$ .

$\text{dgm}(d_\alpha|_{X^{2\varepsilon}})$  is the persistent diagram  
associated with the filtration  $(X^{2\varepsilon} \cap B(x, t))_{t \in \mathbb{R}}$

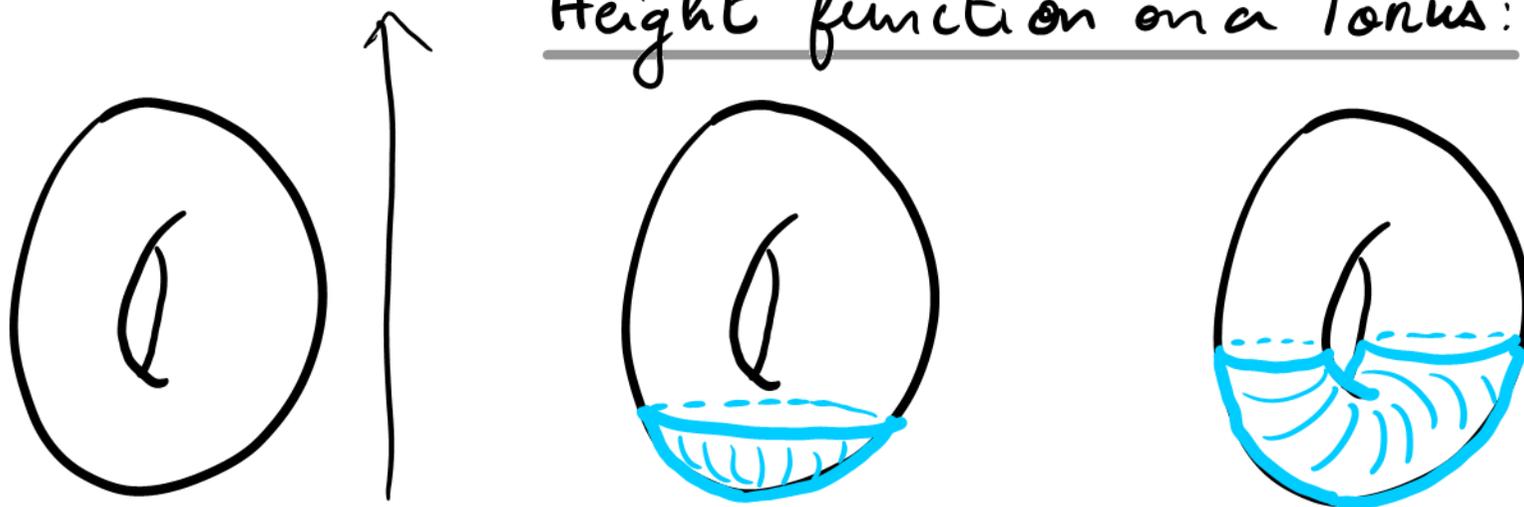
That is, the sublevel set filtration  $d_\alpha^{\wedge}(-\infty, t]$

$$\text{of } d_\alpha|_{X^{2\varepsilon}} : \begin{cases} X^{2\varepsilon} \longrightarrow \mathbb{R}^+ \\ y \longmapsto \|y - \alpha\| \end{cases}$$

# Morse Theory.

When  $Z$  is smooth and  $f|_Z : Z \rightarrow \mathbb{R}$  is a Morse function, the number of bars intersecting  $[0, R]$  is bounded by the number of critical points of  $f|_Z$

Height function on a Torus:



# Morse theory.

When  $Z$  is smooth and  $f|_Z : Z \rightarrow \mathbb{R}$  is a Morse function, the number of bars intersecting  $[0, R]$  is bounded by the number of critical points of  $f|_Z$

$\Rightarrow$  if  $d_{n|X^{2\varepsilon}}$  has a Morse function behavior, (even though  $X^{2\varepsilon}$  is not smooth),

it suffices to study the critical points of  $d_{n|X^{2\varepsilon}}$ .

# Morse Theory.

Inspired by Joseph Fu, we developed  
a theory of Morse functions restricted  
to offsets  $X^\delta$ .

when  $\delta$  is a regular value of  $d_X$ .

# Morse Theory.

Let  $f: \mathbb{R}^d \rightarrow \mathbb{R}$  be a  $C^2$  map.

Taking inspiration from Fu, we define **critical points** and **Hessian** of  $f|_{X^\delta}$  depending on  $f$  and the curvatures of  $X^\delta$ .

# Morse Theory.

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Taking inspiration from Fu, we define critical points and Hessian of  $f|_{X^\delta}$  depending on  $f$  and the curvatures of  $X^\delta$ .

$f$  is said to be **Morse** when at every critical point, the Hessian is non-degenerate.

# Morse Theory.

Theorem: [C.]

Let  $f: \mathbb{R}^d \rightarrow \mathbb{R}$   $C^2$  be such that  
 $f|_{X^\delta}$  is Morse.

Then the filtration  $(f^{-1}(-\infty, t] \cap X^\delta)_{t \in \mathbb{R}}$   
is such that

- Between critical values, the homotopy type stays constant.

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is such that

- Between critical values, the homotopy type stays constant.
- Around a critical point, a cell is added, corresponding to one event in the associated diagram.

# Morse Theory.

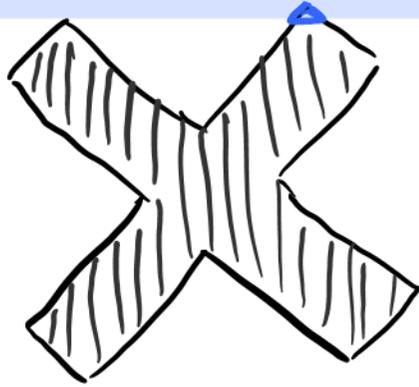
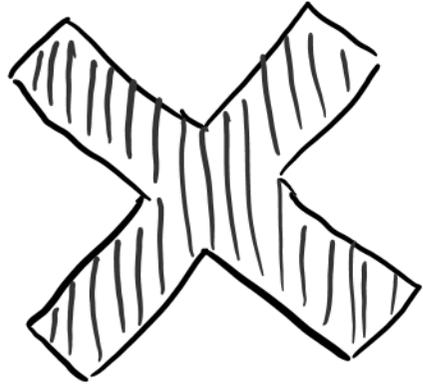
## Example.



$\emptyset$

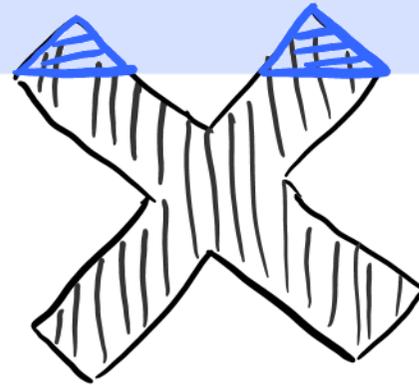
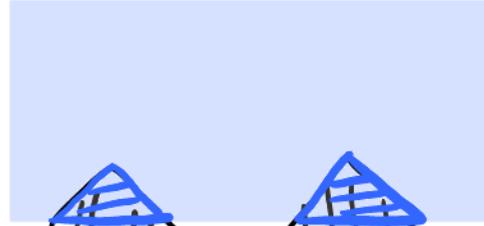
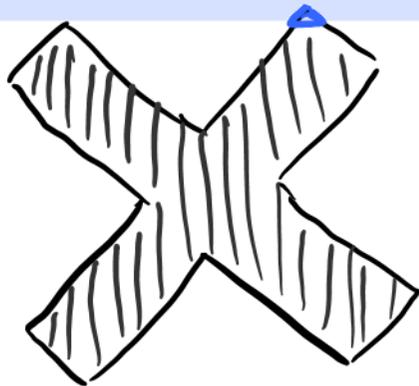
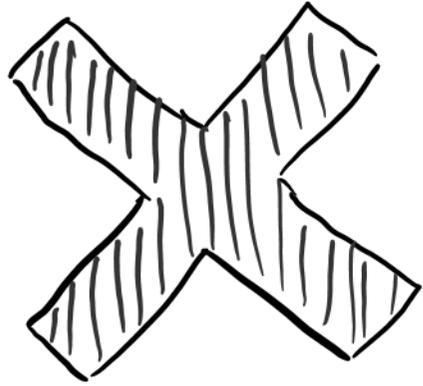
# Morse Theory.

## Example.



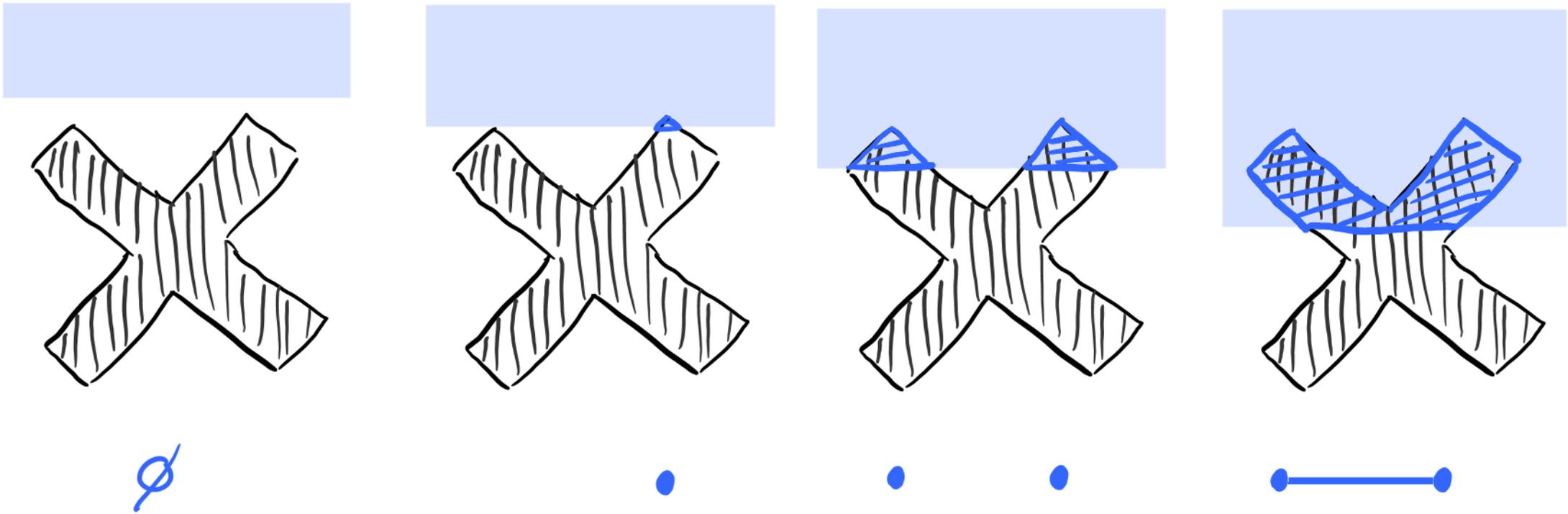
# Morse Theory.

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# Morse Theory.

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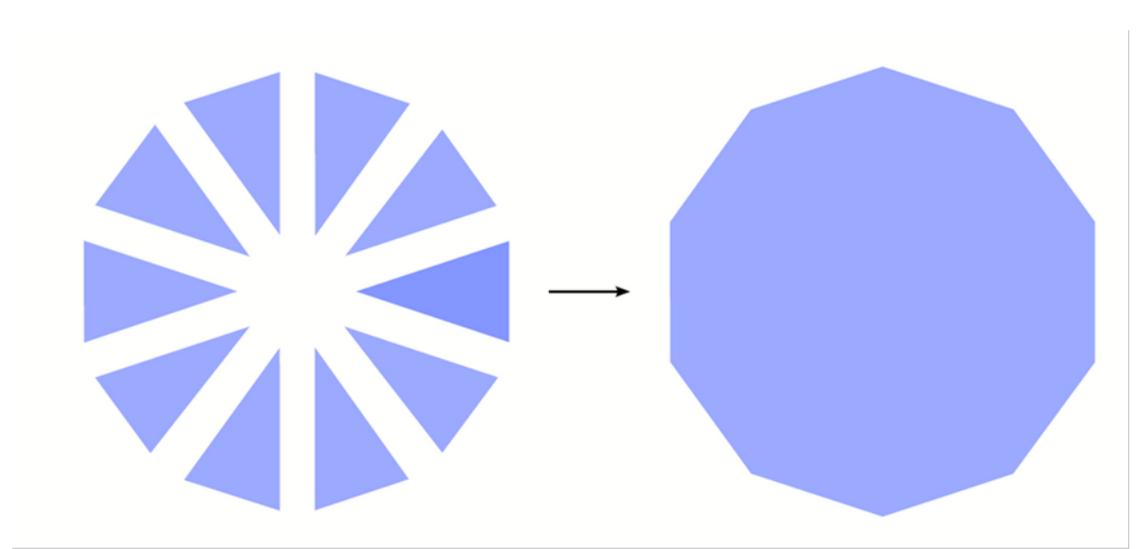
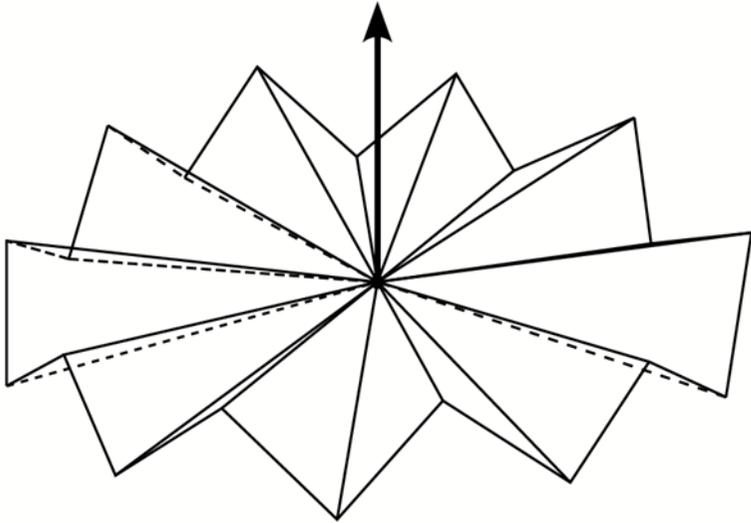


Persistence diagram:



# Morse theory.

## Counter example



One critical point, several changes.

# Morse theory.

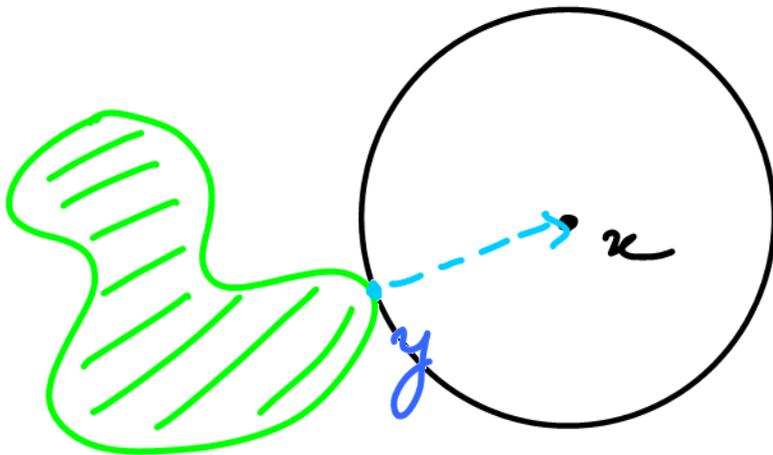
Proposition: [c.]

For almost every  $x$  in  $\mathbb{R}^d$ ,  $d_x \chi^\delta$   
is a Morse function.

# Morse theory.

## Proposition: [C.]

For almost every  $x$  in  $\mathbb{R}^d$ ,  $d_x|_X^\delta$  is a Morse function.



Critical points of  $d_x|_X$  are related to the normals of  $X$ .

## Bound on $K_R(X^\delta)$ .

Bounding  $N_0^R(X^\delta, \pi)$  by the number of critical points of  $d\pi|_{X^\delta}$ , and integrating this number yields

$$K_R(X^\delta) \leq \text{Vol}(X^\delta) + M_R(X^\delta)$$

Function of the curvatures of  $X^\delta$ .

$$\Rightarrow K_R(X^\delta) = O(\text{Vol}(X^\delta) + M(N_X))$$

Mass of the unit normal bundle  
( $\approx$  total curvatures)

Side result: stability of intrinsic volumes

Let  $X, Y \subset \mathbb{R}^d$ .

Assume they are  $\varepsilon$ -homotopy equivalent, i.e.

$$\exists f: X \rightarrow Y, g: Y \rightarrow X$$

such that  $f \circ g, g \circ f$  are homotopic to  $\text{Id}_Y, \text{Id}_X$   
with homotopy trajectories bounded by  $\varepsilon$ .

Then  $|V_i(X) - V_i(Y)| = O(\varepsilon(K(X) + K(Y)))$

## Conclusion

• Using tools from persistent homology, geometric measure theory and non-smooth analysis, we were able to extend the noise-filtering property of persistence theory to the realm of geometry and obtain inference results on non-smooth sets converging at the optimal rate.

$$|V_{i,\varepsilon}(Y) - V_i(X)| = O\left(\frac{\varepsilon}{\mu} (K(X) + K(X^{2\varepsilon}))\right)$$

• Along the way, we obtained a result on Morse theory and a stability result for intrinsic volumes.

## Open problems

- Intrinsic volumes are global curvatures.

It is possible to recover the curvature measures of  $X$  from  $Y$ ?

On its normal cycle?

- Mimicking what we did with  $Y^\varepsilon \hookrightarrow Y^{3\varepsilon}$ , can we exploit any inclusion  $A \hookrightarrow B$ ?

Danke!

Grazie!

Thank you for your  
attention!

Merci!

Děkuji!

ძალიან მადლობა !